



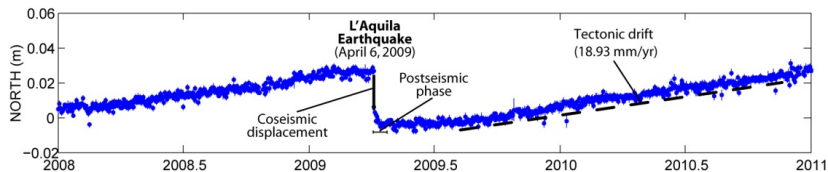
**ERTH 455 / GEOP 555**  
**Geodetic Methods**

**– Lecture 25: Modeling - Slip Inversion –**

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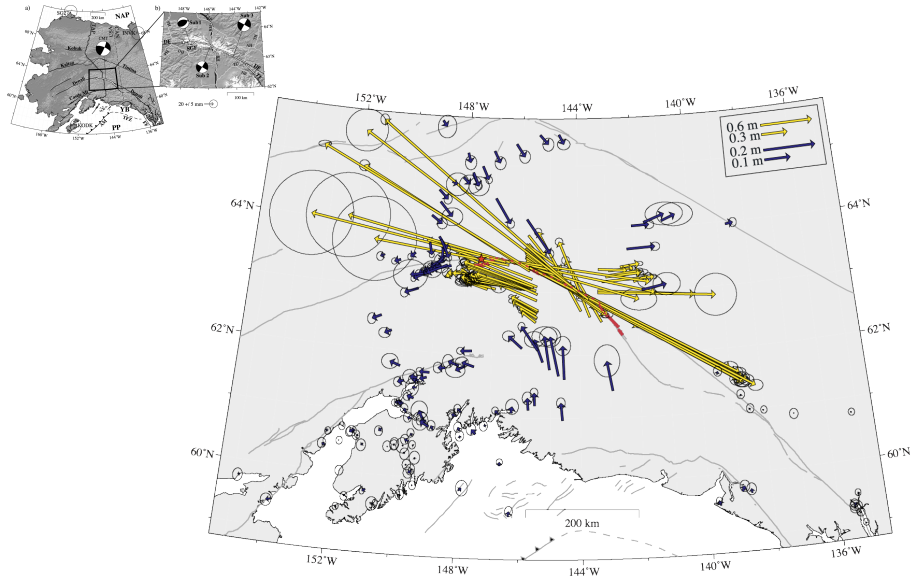
# The deformation cycle



*Roberto Devoti, INGV*

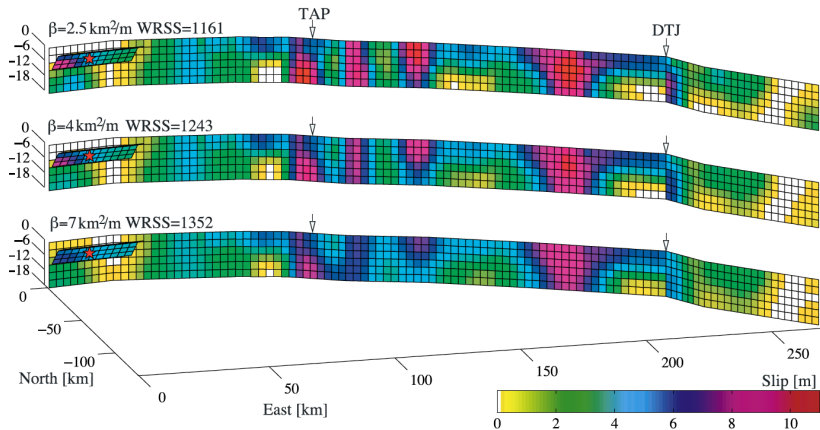
- Earthquake: sudden slip on fault
- $M_w$  4-5: a few centimeters average slip on fault
- $M_w$  7: a few meters average slip on fault
- $M_w$  9: 10-20+ meters average slip on fault
- L'Aquila earthquake:  $M_w$  5.9 - displacements depend on distance, magnitude, fault geometry

# Co-Seismic: The 2002 $M_w=7.9$ Denali Earthquake



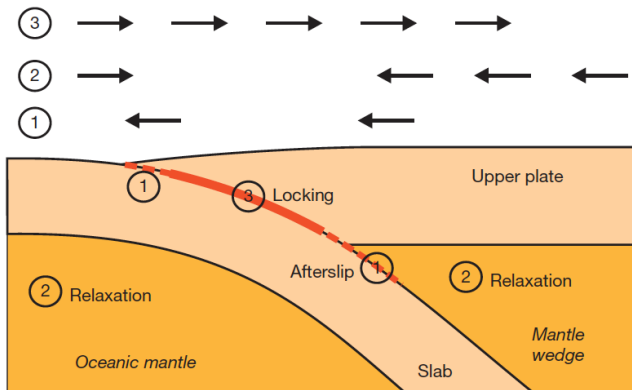
*Hreinsdóttir et al., JGR, 2006*

# Co-Seismic: The 2002 $M_w=7.9$ Denali Earthquake



**Figure 10.** Range of reasonable coseismic slip models from the roughest ( $\beta = 2.5 \text{ km}^2/\text{m}$ ) to the smoothest ( $\beta = 7 \text{ km}^2/\text{m}$ ). The axes show easting, northing, and depth in km. TAP, Trans-Alaska pipeline; DTJ, Denali-Totschunda fault junction. Red star indicates the Denali Fault earthquake epicenter.

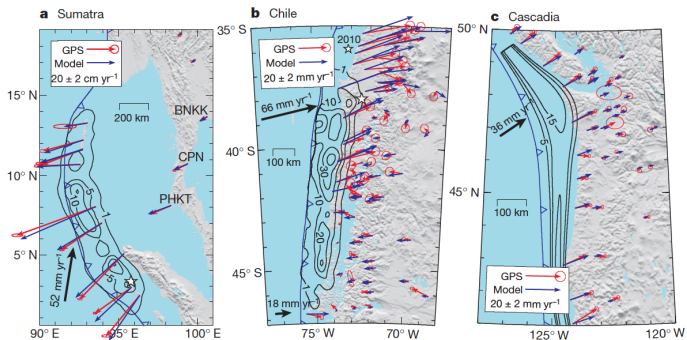
# The deformation Cycle: Post-seismic



Earthquake cycle = rupture + ① + ② + ③

**Figure 2 | Three primary processes after a subduction earthquake.** (1) Aseismic afterslip occurs mostly around the rupture zone, (2) the coseismically stressed mantle undergoes viscoelastic relaxation, and (3) the fault is relocked. Arrows at the top show the sense of horizontal motion of Earth's surface, relative to distant parts of the upper plate, caused by each of these three processes.

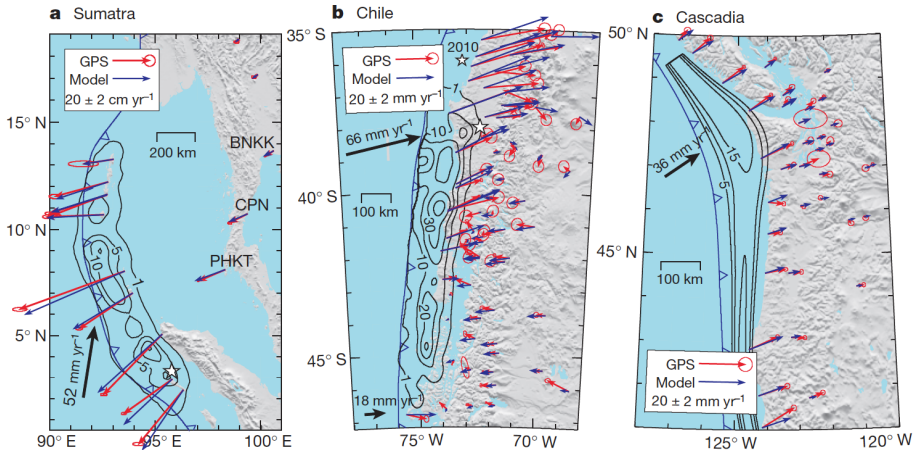
# The deformation Cycle: Post-seismic



**Figure 3 | GPS- (red) and model-predicted (blue) surface velocities for three subduction zones that are at different stages of the earthquake cycle. a.** At Sumatra, one year after the  $M_w = 9.2$  earthquake of 2004 (refs 20 and 21) (epicentre shown by star), all sites move seaward. Shown are  $\sim 1$ -year average GPS velocities. More recent data show the same pattern<sup>22</sup>. Coseismic fault slip (contoured in metres) is based on ref. 56. Longer ( $\sim 3$ -years) time series from the three labelled far-field sites (BNKK, CPN, PHKT)<sup>32</sup> helped constrain

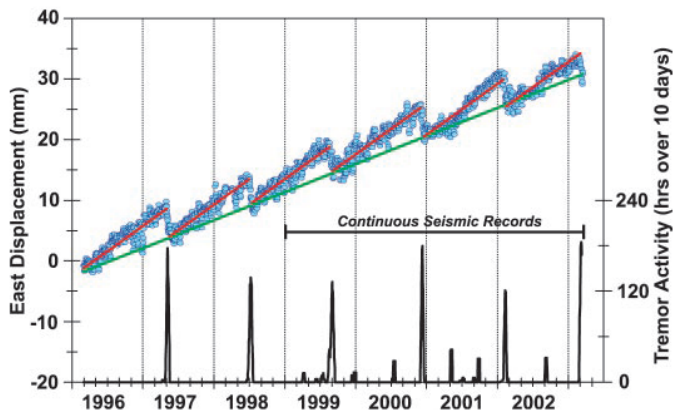
afterslip and transient rheology (ref. 48). **b.** At Chile, four decades after the  $M_w = 9.5$  earthquake of 1960, coastal and inland sites show opposing motion. Coseismic slip is from ref. 14. For sources of GPS data, see ref. 17. The northernmost areas show wholesale landward motion before the 2010  $M_w = 8.8$  Maule earthquake. **c.** At Cascadia, three centuries after the  $M_w \approx 9$  earthquake of 1700, all sites move landward. The model is an updated version of ref. 8. A more comprehensive GPS compilation shows a similar deformation pattern<sup>16</sup>.

# The deformation Cycle: Post-seismic



Wang et al., 2012, Nature

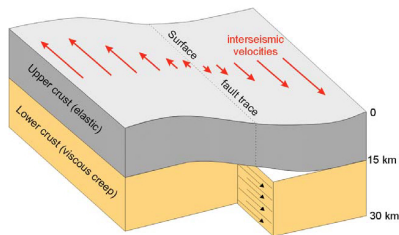
# The deformation Cycle – Slow Slip



*Rogers & Dragert 2003, Science*



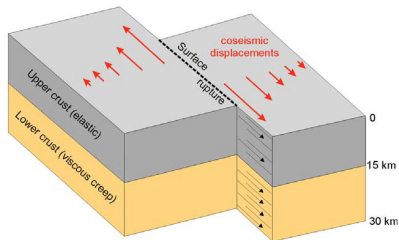
# Physics of Faults



- stick-slip sliding (seismic)
  - 2 sides of interface stuck together: friction
  - slip occurs when friction is overcome
  - slip controlled by dynamic friction, healing

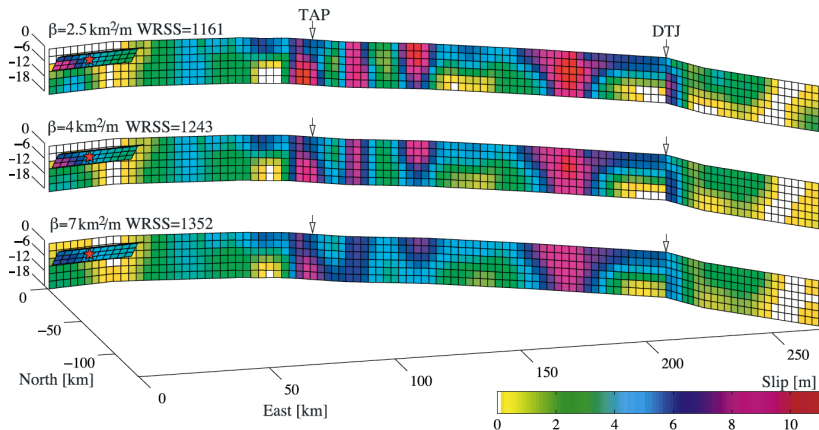
- stable sliding (aseismic):
  - 2 sides slide continuously past each other
  - slip occurs all the time
  - slip controlled by plastic, ductile or viscous yielding

- transient slip also occurs (slow slip events)



# Geodetic data → Slip on a Fault

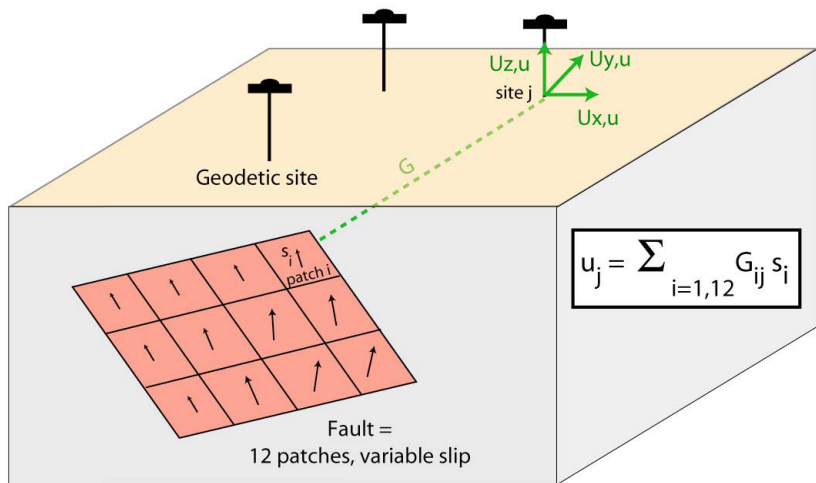
## How to get this?



**Figure 10.** Range of reasonable coseismic slip models from the roughest ( $\beta = 2.5 \text{ km}^2/\text{m}$ ) to the smoothest ( $\beta = 7 \text{ km}^2/\text{m}$ ). The axes show easting, northing, and depth in km. TAP, Trans-Alaska pipeline; DTJ, Denali-Totschunda fault junction. Red star indicates the Denali Fault earthquake epicenter.

# Geodetic data → Slip on a Fault

Green's function = displacement due to unit slip on fault patch

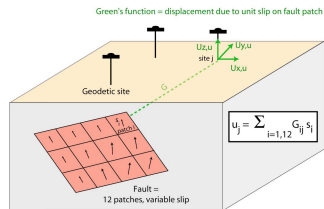


# Slip on a Fault

- We want to use displacements to determine where on the fault how much slip occurred
- Ideally, we also want to know where the fault is.
- The problem is non-linear in fault geometry, but linear in slip

# Green's Functions

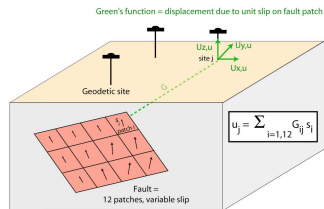
- Is basically an impulse unit response
- Represents Earth structure ( “effect of propagation from source to receiver” )



*Eric Calais*

# Green's Functions

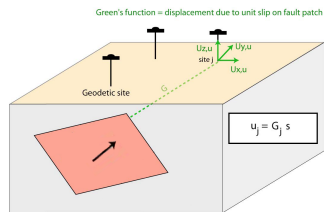
- Is basically an impulse unit response
- Represents Earth structure ( “effect of propagation from source to receiver”)
- Think “*Given this Earth structure How much displacement will I get here when the fault over there slips 1 unit (e.g. 1 m)*”
- Due to linearity you can scale this with different amounts of slip, say 25 m or 33 cm which results in scaled displacement



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# Green's Functions

- Simple earthquake: 1 fault surface with uniform strike dip, rake, slip
- Displacement at a location can be written as unit slip on that geometry times amount of slip



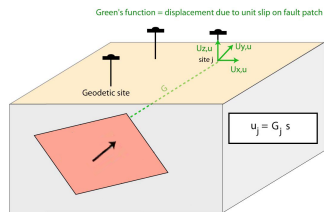
*Eric Calais*

# Green's Functions

- Simple earthquake: 1 fault surface with uniform strike dip, rake, slip
- Displacement at a location can be written as unit slip on that geometry times amount of slip

$$u = G * s$$

- $u$  is data vector
- $s$  is model vector
- $G$  is design matrix made of Green's functions
- $G$  can be analytical expressions of derived from numerical models



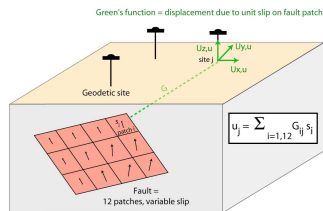
*Eric Calais*



# Green's Functions

- Complex earthquake: non-uniform strike dip, rake, slip
- complex fault geometry
- displacement at given site is sum of contributions of N fault patches

$$u_j = \sum_i^N G_{ij} * s_i$$



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# Green's functions

Which primary directions of slip can we distinguish?

# Green's functions

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- Strike-Slip (ss), Dip-Slip (ds), Opening (op)
- usually separated into their own Green's functions:

$$u_j = \sum_{i=1}^N \left[ G_{ij}^{ss} s_i^{ss} + G_{ij}^{ds} s_i^{ds} + G_{ij}^{op} s_i^{op} \right]$$

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- further separated into 3 displacement components:

$$u_{j,x} = \sum_{i=1}^N \left[ G_{ij,x}^{ss} s_i^{ss} + G_{ij,x}^{ds} s_i^{ds} + G_{ij,x}^{op} s_i^{op} \right]$$

$$u_{j,y} = \sum_{i=1}^N \left[ G_{ij,y}^{ss} s_i^{ss} + G_{ij,y}^{ds} s_i^{ds} + G_{ij,y}^{op} s_i^{op} \right]$$

$$u_{j,z} = \sum_{i=1}^N \left[ G_{ij,z}^{ss} s_i^{ss} + G_{ij,z}^{ds} s_i^{ds} + G_{ij,z}^{op} s_i^{op} \right]$$

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- What kind of problem are we headed towards?

# Green's Functions

- Analytical solution for elastic half-space exist
  - widely used formulation: *Okada, Y., Internal deformation due to shear and tensile faults in a half-space, Bull. Seismo. Soc. Amer., v. 82, 1018-1040, 1992.*
  - Original Fortran code is most reliable, implementations in other languages exist
- expressions for more complex earth structure exist
  - layered elastic
  - visco-elastic half space
  - elastic over visco-elastic

# Solving for Slip

- Displacement at a point  $j$  on Earth's surface caused by slip on  $N$  fault patches can be written as:

$$u_j = \sum_{i=1}^N G_{ij} s_i$$

- This looks familiar

$$u = Gs$$

- $u$  is data vector
- $s$  is model vector
- $G$  is design matrix made of Green's functions

# Solving for Slip

Diagram illustrating the slip inversion equation:

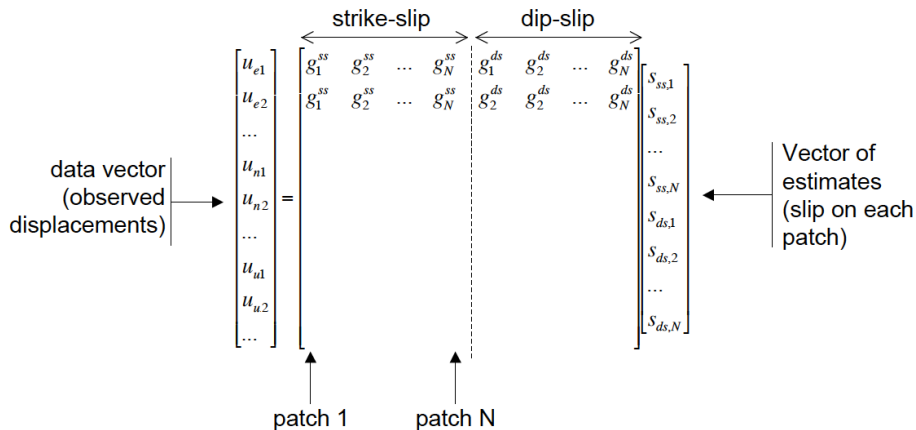
$$\begin{bmatrix} u_{e1} \\ u_{e2} \\ \dots \\ u_{n1} \\ u_{n2} \\ \dots \\ u_{u1} \\ u_{u2} \\ \dots \end{bmatrix} = \begin{bmatrix} \text{strike-slip} & \text{dip-slip} \\ g_1^{ss} & g_1^{ds} & \dots & g_N^{ds} \\ g_2^{ss} & g_2^{ds} & \dots & g_N^{ds} \\ \dots & \dots & \dots & \dots \\ g_N^{ss} & g_N^{ds} & \dots & g_N^{ds} \end{bmatrix} \begin{bmatrix} S_{ss,1} \\ S_{ss,2} \\ \dots \\ S_{ss,N} \\ S_{ds,1} \\ S_{ds,2} \\ \dots \\ S_{ds,N} \end{bmatrix}$$

The data vector (observed displacements) is on the left. The vector of estimates (slip on each patch) is on the right. The matrix is partitioned into strike-slip and dip-slip components. The columns are labeled patch 1 and patch N.

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# Solving for Slip

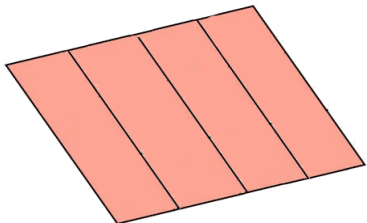


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For prior 1D problems  $G$  was a matrix  
How to deal with 2D problem of slip on fault?

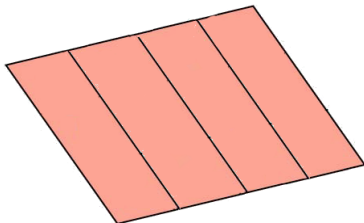
# Solving for Slip

This should be straight-forward to turn into  $G$

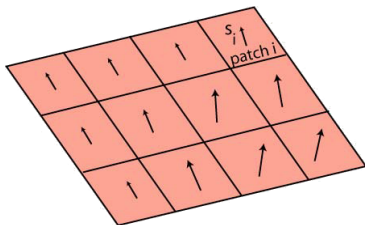


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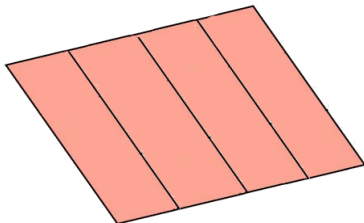


How about this?

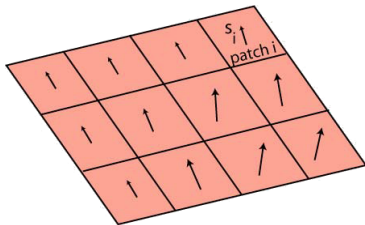


# Solving for Slip

This should be straight-forward to turn into  $G$



How about this?



Linearize!

# Solving for Slip

data vector (observed displacements)

$$\begin{bmatrix} u_{e1} \\ u_{e2} \\ \dots \\ u_{n1} \\ u_{n2} \\ \dots \\ u_{u1} \\ u_{u2} \\ \dots \end{bmatrix} = \begin{bmatrix} \text{strike-slip} & \text{dip-slip} \\ g_1^{ss} & g_1^{ds} & \dots & g_N^{ds} \\ g_2^{ss} & g_2^{ds} & \dots & g_N^{ds} \\ \dots & \dots & \dots & \dots \\ g_N^{ss} & g_N^{ds} & \dots & g_N^{ds} \end{bmatrix} \begin{bmatrix} S_{ss,1} \\ S_{ss,2} \\ \dots \\ S_{ss,N} \\ S_{ds,1} \\ S_{ds,2} \\ \dots \\ S_{ds,N} \end{bmatrix}$$

patch 1      patch N

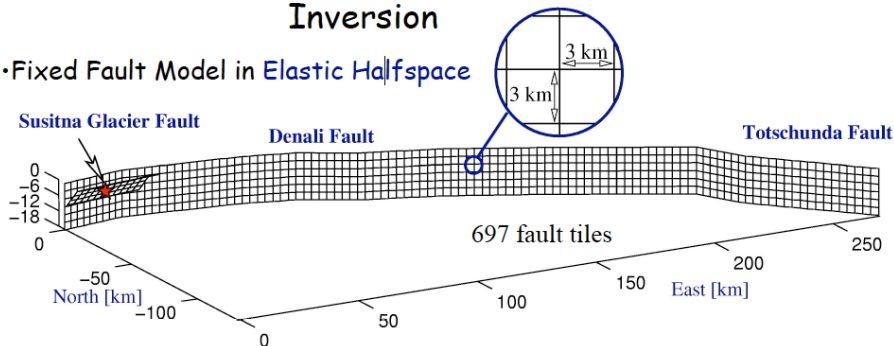
Vector of estimates (slip on each patch)

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# Solving for Slip

## Inversion

- Fixed Fault Model in Elastic Halfspace



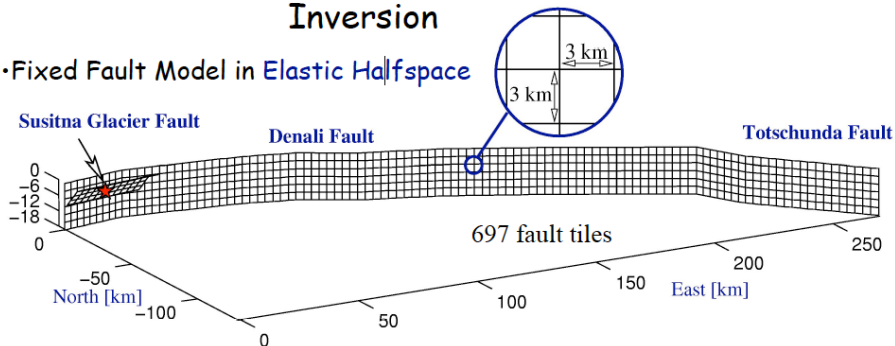
*Sigrun Hreinsdottir*

With 224 GPS sites and 697 fault tiles solving for dip-slip and strike-slip, what problem are we running into?

# Solving for Slip

## Inversion

- Fixed Fault Model in Elastic Halfspace



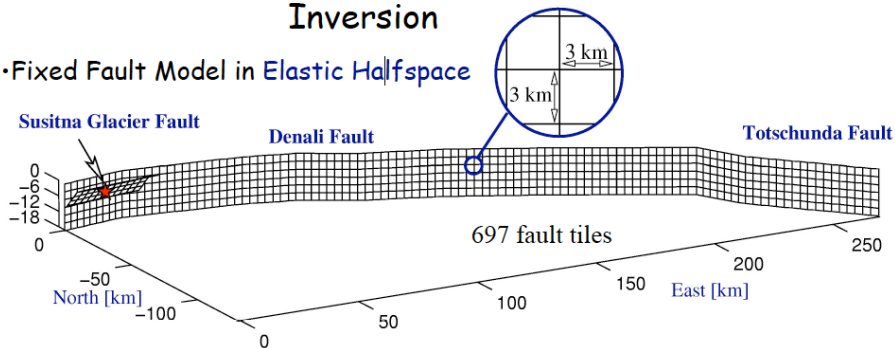
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With 224 GPS sites and 697 fault tiles solving for dip-slip and strike-slip, what problem are we running into?  
Underdetermined system.

# Solving for Slip

## Inversion

- Fixed Fault Model in Elastic Halfspace



*Sigrun Hreinsdottir*

- observations at 225 GPS sites: 675 data (if vertical helps)
- 697 fault tiles, ss, ds: 1394 unknowns
- no enough data to constrain number of unknowns
- also often an issue: unphysical oscillatory slip



# Regularization / Smoothing

- Idea: Minimize the rate of change of slip with position
- “rate of change of slip” is curvature
- Laplacian:

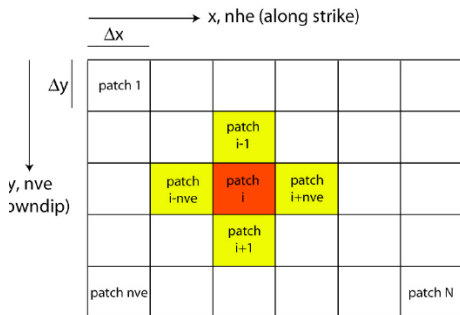
$$\nabla^2 = \frac{\delta^2}{\delta x^2} + \frac{\delta^2}{\delta y^2} + \frac{\delta^2}{\delta z^2}$$

- Practice: Minimize sum of partial second differentials of slip for each fault patch
- Can be solved using finite-difference method for a function P

$$\frac{\delta^2 P(x)}{\delta x^2} \approx \frac{P(x - \Delta x) - 2P(x) + P(x + \Delta x)}{\Delta x^2}$$

# Regularization / Smoothing

- Our function  $P(x)$  is slip  $s$  which varies along-strike ( $x$ ) and down-dip ( $y$ )
- For patch  $i$  finite difference approximation of Laplacian is ( $nve$  = number of vertical elements,  $nhe$  = horizontal):



$$l_i = \frac{s_{i-nve} - 2s_i + s_{i+nve}}{\Delta x^2} + \frac{s_{i-1} - 2s_i + s_{i+1}}{\Delta x^2}$$

*Eric Calais*

# Regularization / Smoothing

- In practice, equation:

$$l_i = \frac{s_{i-nve} - 2s_i + s_{i+nve}}{\Delta x^2} + \frac{s_{i-1} - 2s_i + s_{i+1}}{\Delta y^2}$$

is written in matrix form, for the along-strike and down-sip components:

$$\begin{bmatrix} \dots \\ 0 \\ \dots \end{bmatrix} = \underbrace{\begin{bmatrix} \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ (0) & \frac{1}{\Delta x^2} & (0) & -\frac{2}{\Delta x^2} & (0) & -\frac{1}{\Delta x^2} & (0) \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \end{bmatrix}}_{L_x} \begin{bmatrix} \dots \\ s_{i-nve} \\ \dots \\ s_i \\ \dots \\ s_{i+nve} \\ \dots \end{bmatrix} \qquad \begin{bmatrix} \dots \\ 0 \\ \dots \end{bmatrix} = \underbrace{\begin{bmatrix} \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ (0) & \frac{1}{\Delta y^2} & (0) & -\frac{2}{\Delta y^2} & (0) & -\frac{1}{\Delta y^2} & (0) \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \end{bmatrix}}_{L_y} \begin{bmatrix} \dots \\ s_{i-1} \\ \dots \\ s_i \\ \dots \\ s_{i+1} \\ \dots \end{bmatrix}$$

- The 2 Laplacian matrices are then added:

$$L = L_x + L_y$$

# Regularization / Smoothing

- The original problem was:

$$[u] = [ G_{ss} \quad G_{ds} ] \begin{bmatrix} S_{ss} \\ S_{ds} \end{bmatrix}$$

- now it becomes:

$$\begin{bmatrix} u \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} G_{ss} & G_{ds} \\ L & 0 \\ 0 & L \end{bmatrix} \begin{bmatrix} S_{ss} \\ S_{ds} \end{bmatrix}$$

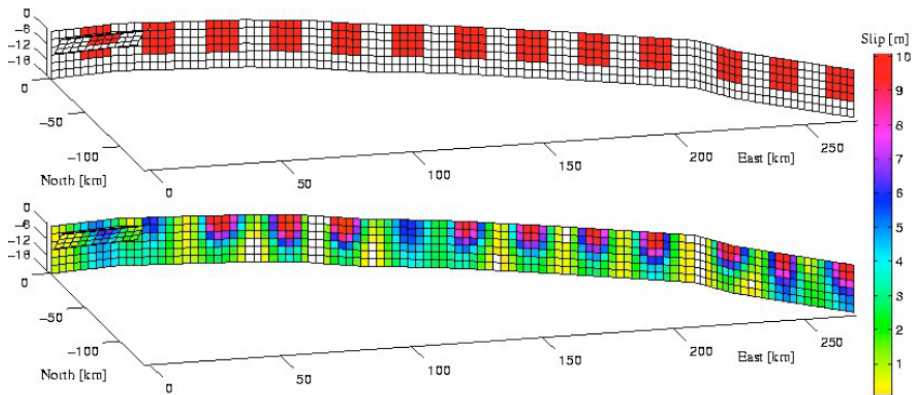
- amount of smoothing can be tuned using scalar smoothing factor  $\kappa$ :

$$\begin{bmatrix} u \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} G_{ss} & G_{ds} \\ \kappa L & 0 \\ 0 & \kappa L \end{bmatrix} \begin{bmatrix} S_{ss} \\ S_{ds} \end{bmatrix}$$

- $\kappa = 0$ : no smoothing,  $\kappa = 1$  maximum smoothing

# Regularization / Smoothing

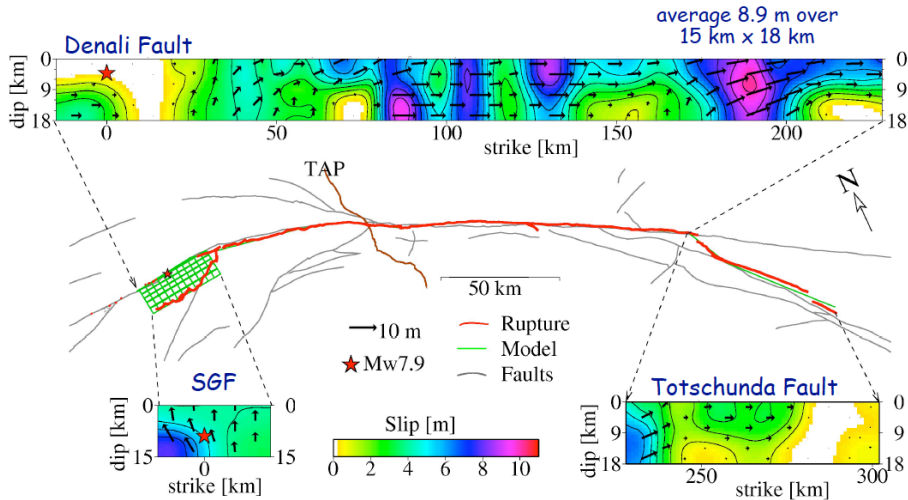
What can you recover? Checker board / Resolution test:



*Sigrun Heinsdottir*

# Distributed Slip Inversion

This is how you get this:



$M_0 = 6.81 \times 10^{20} \text{ Nm}$   
 $M_w 7.89$

Sigrun Hreinsdottir